

**Qualification Title:** New Zealand Certificate in Regulatory Compliance (Level 5) with strands in Audit, Inspection, Investigation, and Operations

**Qualification number:** 2777

**Date of review:** 28 November 2019

This report refers to graduates awarded this qualification prior to: **31 December 2018**

**Final decision on consistency of the qualification: National consistency is confirmed**

#### Threshold:

The threshold to determine sufficiency with the graduate profile was determined as evidence of graduates who have knowledge and skills relevant to a range of senior operational regulatory compliance roles, which are transferable across the regulatory compliance sector as well as being relevant to their functional area (or strand). Graduates will be able to operate independently in a range of situations and be able to function effectively with a legislative mandate.

#### Education Organisations with sufficient evidence

The following education organisations have been found to have sufficient evidence.

MOE number	Education Organisation	Final rating
8103	The Skills Organisation	Sufficient

#### Introduction

This level 5 qualification of 60 credits is intended to provide people who work in focussed regulatory compliance roles with knowledge and skills transferable across the regulatory compliance sector as well as those relevant to their functional area.

This qualification is designed to benefit local and central government organisations, and organisations that carry out regulatory compliance work on their behalf, by providing people who can operate in roles focussed on audit, inspections, investigations, or operations.

Graduates of this qualification may decide to undertake further tertiary study towards higher-level tertiary qualifications, for example in management and leadership. Graduates of the Investigation strand may progress on to the New Zealand Diploma in Regulatory Compliance Investigations (Level 6) [Ref: 2778].

The qualification was approved in 2015 and is scheduled for review in 2020. The Skills Organisation is the qualification developer and was represented at the consistency review meeting.

Only one education organisation has graduates – 19 in 2018 and 23 in 2018. This organisation delivered only the *Audit* and *Investigation* strands.

## Final Consistency Review Report

### Evidence

The education organisation provided a range of evidence to demonstrate that its graduates met the graduate profile outcomes.

The criteria used to judge the evaluation question were:

- The nature, quality and integrity of the evidence presented by the education organisation.
- How well the organisation has analysed, interpreted and validated the evidence, and used the understanding gained to achieve actual or improved consistency.
- The extent to which the education organisation can reasonably justify and validate claims and statements relating to the consistency of graduate outcomes, including in relation to other providers of programmes leading to the qualification.

Evidence provided for this review included:

- Confirmation that the education organisation had a coherent programme of industry training which ensured that programme components led to the graduate profile.
- Evidence of moderation that assured that the programme was assessed at an appropriate level.
- Destination data supporting that graduates were working in roles that required the application of skills and knowledge required by the graduate profile.
- Graduate and supervisor surveys which confirmed that graduates had gained, and were using, the skills and knowledge outlined in the graduate profile.

### **How well does the self-assessment and supporting evidence provided by the education organisation demonstrate that its graduates match the graduate outcomes at the appropriate threshold?**

The education organisation submitted a range of evidence that could be triangulated to demonstrate that its graduates match the graduate outcomes at the appropriate threshold. This included assessment and moderation evidence, programme alignment, graduate and supervisor feedback.

The education organisation provided good evidence related to the alignment of their approved programme of industry training with the GPOs, and of the quality and suitability of the programme in terms of supporting graduate consistency with the graduate outcomes. The education organisation provided evidence that its programme provided opportunities for assessment within realistic contexts aligned to the conditions specified in the qualification.

Overall, the self-assessment and supporting evidence supplied by the organisation found sufficient, demonstrates that their graduates meet the graduate outcomes at the determined threshold.

### **Special Focus** (includes special focus on a strand or outcome)

This consistency review covered only the Investigation and Audit strands (as there have been no graduates from the inspections or operations strands).

### **Issues and concerns**

None

### **Recommendations to Qualification Developer**

None

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