

FIELD LAW AND SECURITY

Review of *Compliance and Regulatory Control* unit standards

Subfield	Domain	Id
Compliance and Law Enforcement	Compliance and Regulatory Control	4224, 4225, 4227, 4229, 4232, 4233, 4235, 4236, 4239, 4241, 4245, 10426, 11284, 11285, 12814-12816, 13226-13229, 14540-14550, 14935-14937, 19584

The Local Government Industry Training Organisation (LGITO) has completed the review of the unit standards listed above.

Date new versions published

July 2007

Expiry date of new versions of the unit standards

December 2012

Summary of review and consultation process

This review is the second of two stages in an overall review of unit standards in the Compliance and Regulatory Control domain. The first stage dealt with 17 unit standards that were selected because they form part of LGITO qualifications requiring review.

This, the second stage, did not deal with building-related Compliance and Regulatory Control unit standards 7226-7237, as these were reviewed separately as part of a project conducted by Infratrains New Zealand, or with unit standards 19420-19422 which had their period of registration extended in February 2006.

The 36 unit standards listed above were due for review. Feedback sought from users and other stakeholders clearly indicated that, apart from the eight unit standards that are being allowed to expire, there were no problems with them and they required no changes to level, credit, and basic content. They agreed that the unit standards ought to undergo technical revision to ensure they met current NZQA criteria for registration, and to have minor content changes made to ensure they better reflected current terminology and good practice.

The unit standards were reviewed on that basis, changes were made where required, and the drafts were circulated to, and endorsed by, users and other stakeholders.

Main changes resulting from the review

- Eight unit standards are being allowed to expire. Refer to the table at the end of this report.
- 18 unit standards have had their titles changed to reflect more accurately their overall outcome and to ensure consistency with the domain Compliance and Regulatory Control. Refer to the table at the end of this report for changes.
- Purpose statements have been updated in terms of style and to reflect the elements.
- Special notes have been updated in terms of consistency of style across the batch and, where required, to improve their clarity and currency.
- Special notes have been modified, inserted, or removed, as required, in terms of consistency of style across the batch and/or to promote clarity.
- Elements, performance criteria, and their ranges have been amended, where required,

to improve clarity and consistency within the unit standard and in terms of consistency of style across the batch. In some cases changes were required to ensure outcomes could be attributed fairly to the candidate, rather than depending on factors beyond the candidate's control. In addition content was modified to reflect current terminology and good practice.

Unit standards categorised as category D expire at the end of December 2009.

Impact on existing provider accreditations

None.

Impact on existing qualifications

Qualifications that contain the reviewed standards or classifications are tabled below.

Affected	The qualification lists a reviewed classification (domain or subfield) in an elective set The qualification lists a standard that has changes to level or credits The qualification lists a C or D category standard
Not materially affected	The qualification lists a standard that has a new title The qualification lists a standard that has a new classification

The following LGITO qualifications are not materially affected by the changes. They will be updated when they are next reviewed.

Qualification title	Standards in the qualification
National Diploma in Compliance and Regulatory Control (Level 5) with strands in Building Inspection, and Plumbing and Draining Inspection, and an optional strand in Specialist Inspection [Ref: 0421]	4229, 4233, 4235, 4236, 4239, 10426, 11285
National Certificate in Compliance and Regulatory Control (Pest Plant Control) (Level 4) [Ref: 0529]	14546
National Certificate in Compliance and Regulatory Control (Vertebrate Pest Management) (Level 2) [Ref: 0530]	14540, 14541, 14542, 14543, 14546
National Certificate in Compliance and Regulatory Control (Vertebrate Pest Management) (Level 4) [Ref: 0531]	10426, 11285, 14547
National Certificate in Compliance and Regulatory Control (Animal Control) (Level 2) [Ref: 0532]	12815, 12816, 14937
National Certificate in Compliance and Regulatory Control (Animal Control) (Level 4) with an optional strand in Animal Shelter [Ref: 0533]	4235, 4239, 4241, 11285

The following qualifications are not materially affected by the changes. They will be updated when they are next reviewed.

Qualification title	Standards in the qualification	SSB Name
National Certificate in Pest Management with strands in Avian Pest Control, Mustelid Pest Control, Possum Pest Control, Rabbit Pest Control, and Rodent Pest Control [Ref: 1138]	14543	Agriculture Industry Training Organisation
National Certificate in Casino Surveillance (Level 5) [Ref: 0407]	4235, 4236	Aviation, Tourism and Travel Industry Training Organisation
National Certificate in Compliance and Regulatory Control (Animal Welfare) [Ref: 0460]	4239, 4241	Public Sector Training Organisation
National Certificate in Public Sector Compliance Management (Road Transport) (Level 4) [Ref: 1170]	11285	

Summary of main changes

All changes are in **bold**.

Key to review category	
A	Dates changed, but no other changes are made – the new version of the standard carries the same Id and a new version number
B	Changes made, but the overall outcome remains the same – the new version of the standard carries the same Id and a new version number
C	Major changes that necessitate the registration of a replacement standard with a new Id
D	Standard will expire and not be replaced

Subfield Compliance and Law Enforcement
Domain Compliance and Regulatory Control

Id	Title	Level	Credit	Review Category
4224	Produce compliance policy	5	4	D
4225	Develop a compliance profile	5	4	D
4227	Manage patrol Manage a patrol for compliance and/or enforcement	3	3	B
4229	Negotiate compliance service Negotiate compliance service and the solution of compliance problems	5	4	B
4232	Design and audit quality systems for compliance	5	6	D
4233	Impose administrative remedies Pursue an administrative remedy for non-compliance	5	6	B
4235	Plan and prepare for an investigation Plan and prepare for an investigation for compliance and/or enforcement	5	4	B
4236	Conduct an investigation Conduct an investigation for compliance and/or enforcement	5	5	B

Id	Title	Level	Credit	Review Category
4239	Search premises Plan and control the search of a location for compliance and/or enforcement evidence	5	4	B
4241	Seize property Seize property as an enforcement action	4	4	B
4245	Manage integrity of compliance service	6	4	D
10426	Apply Health and Safety in Employment Act 1992 Apply the Health and Safety in Employment Act 1992 in a compliance context	6	2	B
11284	Provide technical advice on complex compliance matters in writing to clients Provide technical advice on complex compliance issues and negotiate complex agreements, in writing	5	5	B
11285	Negotiate with an aggressive client in a compliance context Negotiate on a complex issue with an aggressive client in a compliance context	7	5	B
12814	Control feral dogs and uncontrolled domestic dogs	2	6	B
12815	Control wandering stock in a public place Control a wandering stock animal as an animal control officer	2	6	B
12816	Establish, collect evidence, and write a report for a compliance breach with supervision Establish, collect evidence to verify, and write a report for, a compliance breach with supervision	2	4	B
13226	Conduct an inspection of a site where hazardous substances are stored, distributed, or used	5	6	D
13227	Review for approval a proposal for a hazardous substances storage and distribution site	6	8	D
13228	Respond in a compliance context to an emergency situation which involves hazardous substances	7	8	D
13229	Investigate in a compliance context complex hazardous substances issues and give expert advice	7	8	D
14540	Destroy vertebrate pests using traps Destroy vertebrate pests using traps for pest management	2	6	B
14541	Destroy vertebrate pests using night shooting Destroy vertebrate pests using night shooting for pest management	3	6	B
14542	Destroy vertebrate pests using toxins by ground control Destroy vertebrate pests using toxins by ground control for pest management	3	8	B
14543	Destroy vertebrate pests by fumigation Destroy vertebrate pests by fumigation for pest management	4	5	B

Id	Title	Level	Credit	Review Category
14544	Destroy vertebrate pests by the aerial application of toxic baits Prepare for, plan, and manage the destruction of vertebrate pests by the aerial application of toxic baits	5	12	B
14545	Demonstrate knowledge of vertebrate pest management methods	2	8	B
14546	Identify and inspect property during an animal control, vertebrate or pest plant investigation Inspect land and/or property during an animal control, vertebrate pest, or pest plant investigation	2	3	B
14547	Manage vertebrate pest programme Manage a vertebrate pest management programme	4	6	B
14548	Identify pest plants Demonstrate knowledge of pest plants	4	5	B
14549	Recommend pest plant control programme Plan and recommend a pest plant control programme	4	7	B
14550	Choose pest plant control method	3	7	B
14935	Register dogs in voluntary and involuntary situations	3	4	B
14936	Investigate and action dog nuisance complaints	4	5	B
14937	Describe dog breeds, characteristic dog behaviours and actions required to prevent injury Describe dog characteristics, behaviours, and actions required to prevent injury	3	3	B
19584	Demonstrate knowledge of vertebrate pest monitoring methods	4	8	B