

Field Business**Review of *Public Sector Compliance Management* unit standard 18268**

Subfield	Domain	ID
Public Sector Services	Public Sector Compliance Management	18268

Learning State Limited has completed the review of the unit standard listed above.

Date new versions published

April 2011

Planned review date

December 2015

Summary and main changes

In the process of developing a suite of three new qualifications and 37 associated new unit standards for public sector compliance practitioners, it was noted by the Industry Advisory Groups that unit standard 18268 was limited in scope and overly prescriptive for current needs. In addition, it had very limited usage – with 29 results reported between 2005 and the present stemming from Customs' use of the 'anywhere on the NQF' elective in the National Certificate in Border Management (Customs) [Ref: 0895]. At the same time [Ref: 0895] was in the process of being designated expiring without replacement and, therefore, unit standard 18268 would no longer be included in any Learning State Limited qualifications.

All potential users of unit standard 18268 were contacted regarding its proposed expiry and possible impact on programmes and there were no identified issues with its expiry.

The 37 new unit standards are listed at the end of this report.

The category D unit standard will expire at the end of December 2011

Detailed list of unit standards – classification, title, level, and credits

Key to review category	
A	Dates changed, but no other changes are made - the new version of the standard carries the same ID and a new version number
B	Changes made, but the overall outcome remains the same - the new version of the standard carries the same ID and a new version number
C	Major changes that necessitate the registration of a replacement standard with a new ID
D	Standard will expire and not be replaced

Business > Public Sector Services > Public Sector Compliance Management

ID	Title	Level	Credit	Review Category
18268	Establish and maintain effective working relationships in a compliance environment	4	5	D

Law and Security > Public Sector Compliance > Public Sector Compliance Investigations

ID	Title	Level	Credit	Review Category
26906	Demonstrate knowledge of compliance investigations	4	4	New
26915	Carry out investigations as a compliance officer	5	15	New
26916	Demonstrate interviewing skills for compliance investigations	5	15	New
26917	Manage compliance investigations file documentation	4	4	New
26919	Use audio and/or visual equipment for capturing and securing evidence in a compliance environment	4	4	New
26920	Manage exhibits in a compliance environment	4	4	New
26921	Assess, control, and manage scenes for compliance investigations	5	10	New
26924	Demonstrate knowledge of evidence and the Evidence Act 2006 in relation to compliance investigations	5	10	New
26925	Plan and manage significant compliance investigations	6	25	New
26926	Conduct significant compliance investigations	6	25	New
26927	Demonstrate advanced interviewing skills for compliance investigations	6	20	New
26929	Demonstrate basic knowledge of managing covert human intelligence sources (CHIS)	4	6	New
26931	Manage responsibilities to victims in a compliance investigations context	5	5	New
26932	Prepare for and oversee a prosecution as a compliance investigator	6	25	New
26933	Prepare for and contribute to a civil appeal proceeding as a compliance investigator	5	20	New

Law and Security > Public Sector Compliance > Public Sector Compliance Operations

ID	Title	Level	Credit	Review Category
26897	Demonstrate knowledge of operating in a public sector regulatory environment	3	4	New
26898	Demonstrate knowledge of regulatory approaches and a regulatory model used in a compliance environment	3	4	New
26899	Demonstrate knowledge of options for achieving compliance	3	4	New
26900	Demonstrate basic knowledge of legal concepts in a public sector regulatory environment	3	5	New
26901	Demonstrate knowledge of offences, the rules of evidence, and evidence management in a compliance environment	3	4	New

ID	Title	Level	Credit	Review Category
26902	Produce and manage records in a compliance environment	3	3	New
26903	Demonstrate knowledge of establishing and maintaining effective relationships in a compliance environment	3	4	New
26904	Demonstrate and apply knowledge of keeping oneself and others safe in compliance situations with potential for conflict	4	4	New
26905	Demonstrate knowledge of compliance auditing	4	4	New
26907	Demonstrate knowledge of business structures and arrangements, and corporate liability, as a compliance practitioner	4	4	New
26908	Demonstrate knowledge of legal systems and their application to the public sector regulatory environment	4	5	New
26909	Demonstrate knowledge of planning, management, control, and leadership in relation to significant compliance operations	4	5	New
26910	Plan and manage compliance operations	4	10	New
26911	Prepare for and conduct compliance checks	4	4	New
26912	Inform and/or assist compliance subjects in order to achieve compliance	4	3	New
26913	Respond to calls for service in a compliance environment	4	8	New
26914	Make compliance decisions and/or recommendations for compliance cases	5	10	New
26918	Conduct initial response at incidents in a compliance environment	4	4	New
26922	Carry out interventions in a compliance environment	4	5	New
26923	Demonstrate and apply knowledge of using interpreters for compliance purposes	4	3	New
26928	Demonstrate knowledge of engaging internationally in a compliance context	5	7	New
26930	Plan and manage significant compliance operations	6	15	New