

**Field Law and Security****Review of *Public Sector Compliance and Intelligence Analysis* unit standards**

Subfield	Domain	ID
Compliance and Law Enforcement	Intelligence Analysis	18503
Public Sector Compliance	Public Sector Compliance Investigations	26906, 26915-26917, 26921, 26924-26927, 26931
	Public Sector Compliance Operations	26897-26905, 26908, 26910-26912, 26914, 26922

The Skills Organisation (Skills) has completed the review of the unit standards listed above.

**Date new versions published**

**February 2016**

**Planned review date**

**December 2020**

**Summary**

During 2012, a mandatory sector review of qualifications in the Justice and Law (Compliance) sector occurred. To support the New Zealand qualifications resulting from the review that were listed in 2015, a suite of new and reviewed unit standards was developed. The development encompassed broad consultation with the sector to ensure that the standards align to the qualification outcomes and meet current and future needs.

**Main changes**

- Expiring unit standard 18503 was reinstated and reclassified.
- Thirteen unit standards were designated expiring without replacement.
- Ten other unit standards were designated expiring and were replaced by new unit standards.
- Some new unit standards were developed to address gaps required by the New Zealand qualifications.

**Category C and D unit standards will expire at the end of December 2020**

The last date for assessment of superseded versions of Category B unit standards is December 2020

**Impact on existing organisations with consent to assess**

Current consent for			Consent extended to		
Nature of consent	Classification or ID	Level	Nature of consent	Classification or ID	Level
Subfield	Compliance and Law Enforcement	6	Standard	18503	4

## Impact on registered qualifications

Key to type of impact	
<b>Affected</b>	The qualification lists a reviewed classification (domain or subfield) in an elective set The qualification lists a standard that has changes to level or credits The qualification lists a C or D category standard
<b>Not materially affected</b>	The qualification lists a standard that has a new title The qualification lists a standard that has a new classification

The following Skills Organisation qualifications are impacted by the outcome of this review and were reviewed in 2014. The standards that generated the status *Affected* are listed in **bold**.

Ref	Qualification Title	Classification or ID
1664	National Certificate in Public Sector Compliance (Foundation) (Level 3)	18503, <b>26897-26906</b>
1665	National Certificate in Public Sector Compliance Operations (Level 4) with an optional strand in Investigations	18503, <b>26904, 26906, 26908, 26910-26912, 26914-26917, 26921, 26922</b>
1666	National Diploma in Public Sector Compliance Investigations (Level 5) with optional strands in Prosecutions, and Civil Appeal Proceedings	<b>26904, 26906, 26908, 26914, 26917, 26921, 26924-26926, 26927, 26931</b>

### Detailed list of unit standards – classification, title, level, and credits

All changes are in **bold**.

Key to review category	
<b>A</b>	Dates changed, but no other changes are made - the new version of the standard carries the same ID and a new version number
<b>B</b>	Changes made, but the overall outcome remains the same - the new version of the standard carries the same ID and a new version number
<b>C</b>	Major changes that necessitate the registration of a replacement standard with a new ID
<b>D</b>	Standard will expire and not be replaced

### Law and Security > Public Sector Compliance > Public Sector Compliance Operations

ID	Title	Level	Credit	Review Category
26897	Demonstrate knowledge of operating in a public sector regulatory environment	3	4	D
26898	Demonstrate knowledge of regulatory approaches and a regulatory model used in a compliance environment	3	4	C
<b>29180</b>	<b>Demonstrate core knowledge of regulatory concepts and regulatory models</b>	<b>3</b>	<b>8</b>	
26899	Demonstrate knowledge of options for achieving compliance	3	4	D

ID	Title	Level	Credit	Review Category
26900	Demonstrate basic knowledge of legal concepts in a public sector regulatory environment	3	5	C
<b>29181</b>	<b>Demonstrate core knowledge of law development and concepts to underpin regulatory compliance practice</b>	<b>3</b>	<b>7</b>	
26901	Demonstrate knowledge of offences, the rules of evidence, and evidence management in a compliance environment	3	4	D
26902	Produce and manage records in a compliance environment	3	3	D
26903	Demonstrate knowledge of establishing and maintaining effective relationships in a compliance environment	3	4	D
26904	Demonstrate and apply knowledge of keeping oneself and others safe in compliance situations with potential for conflict	4	4 <b>5</b>	B
26905	Demonstrate knowledge of compliance auditing	4	4	D
26908	Demonstrate knowledge of legal systems and their application to the public sector regulatory environment	4	5	D
26910	Plan and manage compliance operations	4	10	C
<b>29195</b>	<b>Conduct routine regulatory compliance operations</b>	<b>4</b>	<b>10</b>	
26911	Prepare for and conduct compliance checks	4	4	C
<b>29193</b>	<b>Conduct routine regulatory compliance checks</b>	<b>4</b>	<b>10</b>	
26912	Inform and/or assist compliance subjects in order to achieve compliance	4	3	C
<b>29192</b>	<b>Conduct routine educate and/or assist regulatory compliance activities</b>	<b>4</b>	<b>10</b>	
26914	Make compliance decisions and/or recommendations for compliance cases	5	10	D
26922	Carry out interventions in a compliance environment	4	5	C
<b>29197</b>	<b>Carry out regulatory compliance interventions</b>	<b>4</b>	<b>10</b>	
<b>29179</b>	<b>Demonstrate core knowledge of regulatory compliance and New Zealand's regulatory system</b>	<b>3</b>	<b>5</b>	<b>New</b>
<b>29182</b>	<b>Demonstrate core knowledge of regulatory compliance activities</b>	<b>3</b>	<b>10</b>	<b>New</b>
<b>29183</b>	<b>Demonstrate core knowledge of managing information, records, and evidence in a regulatory compliance environment</b>	<b>3</b>	<b>2</b>	<b>New</b>

<b>ID</b>	<b>Title</b>	<b>Level</b>	<b>Credit</b>	<b>Review Category</b>
29184	Demonstrate core knowledge of conduct, communication, and interaction in a regulatory compliance context	3	5	New
29185	Demonstrate operational knowledge of the law in a regulatory compliance context	4	12	New
29186	Demonstrate operational knowledge of regulatory compliance activities	4	8	New
29187	Demonstrate operational knowledge of using interventions to achieve regulatory compliance outcomes	4	8	New
29188	Demonstrate operational knowledge of communication to achieve regulatory compliance outcomes	4	4	New
29189	Apply operational knowledge of regulatory compliance in an operational regulatory compliance context	4	8	New
29190	Contribute to the intelligence processes in a regulatory compliance organisation	4	5	New
29191	Conduct routine entry and exit control regulatory compliance activities	4	10	New
29194	Conduct physical enforcement as a routine activity in regulatory compliance in accordance with statutory powers	4	10	New
29196	Conduct routine regulatory compliance investigations	4	10	New
29198	Create and manage regulatory compliance records	4	5	New
29199	Demonstrate and apply knowledge of a risk-based approach to regulatory compliance activities	5	5	New
29200	Engage with stakeholders in a regulatory compliance environment	5	5	New
29201	Plan and manage regulatory compliance activities	5	15	New
29202	Conduct and conclude regulatory conformance audits	5	20	New
29203	Conduct and conclude regulatory compliance inspections	5	20	New
29205	Conduct regulatory compliance operations	5	15	New
29206	Facilitate regulatory compliance operations	5	15	New

## Law and Security &gt; Public Sector Compliance &gt; Public Sector Compliance Investigations

ID	Title	Level	Credit	Review Category
26906	Demonstrate knowledge of compliance investigations	4	4	D
26917	Manage compliance investigations file documentation	4	4	D
26921	Assess, control, and manage scenes for compliance investigations	5	10	C
<b>29208</b>	<b>Demonstrate knowledge of controlling, assessing, and managing scenes for complex regulatory compliance investigations</b>	<b>6</b>	<b>5</b>	
26924	Demonstrate knowledge of evidence and the Evidence Act 2006 in relation to compliance investigations	5	10	D
26931	Manage responsibilities to victims in a compliance investigations context	5	5	C
<b>29210</b>	<b>Demonstrate knowledge of victim and witness liaison for regulatory compliance investigations</b>	<b>6</b>	<b>5</b>	
26925	Plan and manage significant compliance investigations	6	25	C
26926	Conduct significant compliance investigations	6	25	
<b>29207</b>	<b>Plan, and manage and lead complex regulatory compliance investigations</b>	<b>6</b>	<b>40</b>	
26927	Demonstrate advanced interviewing skills for compliance investigations	6	20	B
	<b>Demonstrate advanced interviewing skills for regulatory compliance investigations</b>			
<b>29209</b>	<b>Demonstrate knowledge of managing material evidence, including exhibits, for regulatory compliance investigations</b>	<b>6</b>	<b>5</b>	<b>New</b>
<b>29211</b>	<b>Demonstrate knowledge of managing investigations file documentation for complex regulatory compliance investigations</b>	<b>6</b>	<b>3</b>	<b>New</b>
<b>29212</b>	<b>Analyse information, and make and report decisions, in relation to complex regulatory compliance investigations</b>	<b>6</b>	<b>14</b>	<b>New</b>
<b>29213</b>	<b>Demonstrate knowledge of communications in a complex regulatory compliance investigations context</b>	<b>6</b>	<b>3</b>	<b>New</b>
<b>29214</b>	<b>Demonstrate knowledge of judicial proceedings in a regulatory compliance investigations</b>	<b>6</b>	<b>5</b>	<b>New</b>
<b>29215</b>	<b>Demonstrate knowledge of the law relevant to complex regulatory compliance investigations</b>	<b>6</b>	<b>20</b>	<b>New</b>

Law and Security &gt; Compliance and Law Enforcement

Law and Security > **Public Sector Compliance**

ID	Domain	Title	Level	Credit	Review Category
18503	Intelligence Analysis <b>Public Sector Compliance Operations</b>	Demonstrate knowledge of the intelligence analysis process	4	5	B

Law and Security &gt; Public Sector Compliance

ID	Domain	Title	Level	Credit	Review Category
26915	Public Sector Compliance Investigations	Carry out investigations as a compliance officer	5	15	C
<b>29204</b>	<b>Public Sector Compliance Operations</b>	<b>Conduct, analyse, and report on regulatory compliance investigations</b>	<b>5</b>	<b>20</b>	
26916	Public Sector Compliance Investigations	Demonstrate interviewing skills for compliance investigations	5	15	C
<b>29216</b>	<b>Public Sector Compliance Operations</b>	<b>Plan, manage and conduct, and evaluate interviews in a regulatory compliance context</b>	<b>5</b>	<b>15</b>	