

FIELD: Society and Culture

Change report for the review of Regulatory Compliance New Zealand Qualifications

Reviewed qualifications

Ref	Version	Qualification title	Level	Credits
2774	1	New Zealand Certificate in Regulatory Compliance (Core Knowledge) (Level 3)	3	40
2775	1	New Zealand Certificate in Regulatory Compliance (Operational Knowledge) (Level 4)	4	40
2776	1	New Zealand Certificate in Regulatory Compliance (Operational Practice) (Level 4)	4	40
2777	1	New Zealand Certificate in Regulatory Compliance (Level 5) with strands in Audit, Inspection, Investigation, and Operations	5	60
2778	1	New Zealand Diploma in Regulatory Compliance Investigations (Level 6)	6	120

Review information

Qualification Developer	The Skills Organisation
Reason for the review	Scheduled 5-year review
Review date	November 2020
Outcome date	December 2020
Next review date	December 2025

Summary of review and consultation process

The Skills Organisation has completed a scheduled review of the suite of Regulatory Compliance qualifications. Consultation took place with stakeholders from across the Regulatory sector, with members in Local and Central Government organisations who are the users of the qualifications. Representatives also included Programme Managers from The Skills Organisation which is the only Tertiary Education Organisation awarding these qualifications.

The consultation process was conducted with a working group over video conferencing, phone conversations, individual face-to-face meetings and wider consultation via email correspondence.

The consultation concluded that some changes needed to be made to ensure the qualifications were fit for purpose, future proofed and reflected the terminology currently used in practice and across the sector.

Main changes resulting from the review

Changes across the suite:

-Title changes from Regulatory Compliance to Regulatory Practice to reflect the current term commonly used across the regulatory sector. The title change reflects the practice of the regulatory profession where regulation

extends beyond compliance. The title change resulted in minor changes to the graduate profile outcomes with the removal of the term compliance where required.

- Removal of conditions from Graduate Profile Outcomes.
- Update of General Conditions for Programmes.
- Context added to Graduate Profile Outcomes where necessary.

Specific changes to qualifications:

New Zealand Certificate in Regulatory Compliance (Core Knowledge) (Level 3) [Ref: 2774]

- Title change to New Zealand Certificate in Regulatory Practice (Core Knowledge) (Level 3).
- New GPO added. GPO 1 was split to make explicit knowledge of law development, legal concepts and the judicial system. Both GPOs are 10 credits, with no overall change to credit value to the qualification.

New Zealand Certificate in Regulatory Compliance (Operational Knowledge) (Level 4) [Ref: 2775]

- Title change to New Zealand Certificate in Regulatory Practice (Operational Knowledge) (Level 4).
- Minor changes made to the GPOs to remove the term compliance with focus on regulatory practice and to ensure context has been added.

New Zealand Certificate in Regulatory Compliance (Operational Knowledge) (Level 4) [Ref: 2776]

- Title change to New Zealand Certificate in Regulatory Practice (Operational Practice) (Level 4).
- Minor changes made to the GPOs to remove the term compliance with focus on regulatory practice and to ensure context has been added.
- Credit values for GPOs rounded to nearest 5. Two GPOs were merged into a single GPO with no overall change to credit value for the qualification.

New Zealand Certificate in Regulatory Compliance (Level 5) with strands in Audit, Inspection, Investigations, and Operations [Ref: 2777]

- Title change to New Zealand Certificate in Regulatory Practice (Level 5) with strands in Audit, Inspection, and Investigation.
- Minor changes made to the GPOs to remove the term compliance with focus on regulatory practice and to ensure context has been added.
- Removal of the Operations strand due to no usage and lack of need of the strand.
- Minor change to Audit strand GPOs and credit values. Overall credits for this strand stay the same.
- Replaced Version 1 GPOs under the Inspection strand with 2 new GPOs to better reflect the knowledge and skills required in an inspection role. No overall change to credits for this strand.

New Zealand Diploma in Regulatory Compliance Investigations (Level 6) [Ref: 2778]

- Title change to New Zealand Diploma in Regulatory Investigations (Level 6).

Review outcome

Ref	Version	Reviewed qualification	Outcome category	New qualification details/version (if applicable)
2774	1	New Zealand Certificate in Regulatory Compliance (Core Knowledge) (Level 3)	<i>B-New version of qualification</i>	New Zealand Certificate in Regulatory Practice (Core Knowledge) (Level 3) [Ref: 2774-2]
2775	1	New Zealand Certificate in Regulatory Compliance (Operational Knowledge) (Level 4)	<i>B-New version of qualification</i>	New Zealand Certificate in Regulatory Practice

				(Operational Knowledge) (Level 4) [Ref: 2775-2]
2776	1	New Zealand Certificate in Regulatory Compliance (Operational Knowledge) (Level 4)	<i>B-New version of qualification</i>	New Zealand Certificate in Regulatory Practice (Operational Practice) [Ref: 2776-2]
2777	1	New Zealand Certificate in Regulatory Compliance (Level 5) with strands in Audit, Inspection, Investigations, and Operations	<i>B-New version of qualification</i>	New Zealand Certificate in Regulatory Practice (Level 5) with strands in Audit, Inspection, and Investigation. [Ref: 2777-2]
2778	1	New Zealand Diploma in Regulatory Compliance Investigations (Level 6)	<i>B-New version of qualification</i>	New Zealand Diploma in Regulatory Investigations (Level 6) [Ref: 2778-2]

Qualification review outcome categories

Outcome	Result	Category
No changes are identified to the qualification	Qualification version number and NZQF ID remain the same. New review date is required.	A
Minor changes are identified to the qualification	New version of qualification is required (NZQF ID remains the same). New review date is required.	B
Significant changes are required to the qualification	New replacement qualification is required with a new NZQF ID. The existing qualification will expire.	C
The qualification is no longer required by industry	The qualification will expire with no replacement.	D

Transition information

Ref	Version	Qualification title	Last date of entry*	Last date of assessment
2774	1	New Zealand Certificate in Regulatory Compliance (Core Knowledge) (Level 3)	N/A	31/12/2023
2775	1	New Zealand Certificate in Regulatory Compliance (Operational Knowledge) (Level 4)	N/A	31/12/2023
2776	1	New Zealand Certificate in Regulatory Compliance (Operational Knowledge) (Level 4)	N/A	31/12/2023
2777	1	New Zealand Certificate in Regulatory Compliance (Level 5) with strands in Audit, Inspection, Investigations, and Operations	N/A	31/12/2023
2778	1	New Zealand Diploma in Regulatory Compliance Investigations (Level 6)	N/A	31/12/2023

*only required for outcome category C or D

Any additional information

It is not intended that any existing candidate be disadvantaged by these transition agreements.

However, anyone who feels they have been disadvantaged may appeal to The Skills Organisation. Appeals will be considered on a case-by-case basis.

The Skills Organisation

Freepost 5164

PO Box 24-469

Royal Oak,

Auckland 1345.

Email: reviewcomments@skills.org.nz

Website: <http://skills.org.nz>

Phone: 0508 Skills (0508 754 557).