

**Field Law and Security****Review of *Public Sector Compliance* and *Compliance and Law Enforcement* unit standards**

<b>Subfield</b>	<b>Domain</b>	<b>ID</b>
Compliance and Law Enforcement	Compliance and Regulatory Control	4231
Public Sector Compliance	Public Sector Compliance Investigations	26927, 29207-29215
	Public Sector Compliance Operations	18503, 26904, 29179-29206, 29216

The Skills Organisation has completed the review of the unit standards listed above.

**Date new versions published**

**October 2021**

**Planned review date**

**December 2026**

**Summary**

The Skills Organisation facilitated the review of the Public Sector Compliance Operations and Public Sector Compliance Investigations unit standards between February and July 2021. A working group of members from the Regulatory sector were involved in reviewing the unit standards to ensure that they remained fit for purpose for their sector use. The Skills Organisation is the only Tertiary Education Organisation (TEO) with Consent to Assess of the reviewed unit standards. The Programme Manager from The Skills Organisation was part of the working group to review the unit standards. The unit standards were also reviewed to align to version 2 of the suite of Regulatory Practice qualifications.

**Main changes**

- Legislation was updated to reflect currency.
- Titles of the unit standards changed to remove compliance where appropriate and replaced with current sector use of Regulatory Practice.
- Titles were amended for some unit standards to reflect updated outcome statements.
- Changes were made to range statements with the addition of components to be more inclusive of other organisations in the Regulatory sector.
- Unit standard 4231 was set to expire due to the Regulatory sector's use of standard 29193. Motor Industry Training Organisation (MITO), who have reported the most usage in the last five years, were informed of the expiry of unit standard 4231. MITO have agreed to expire this unit standard.
- Minor changes were made to Performance Criteria and Range statements to reflect changes made to version 2 of the suite of Regulatory Practice qualifications.
- Two new unit standards were written to align to version 2 of the New Zealand Certificate in Regulatory Practice (Level 5) with strand in Audit, Inspection, and Investigation [Ref: 2777].
- Unit standards 29205 and 29206 were designated expiring due to the removal of the Operations strand in the New Zealand Certificate in Regulatory Practice (Level 5) with strands in Audit, Inspection and Investigation [Ref: 2777].

**Category D unit standards will expire at the end of December 2023**

**The last date for assessment of superseded versions of Category B unit standards is 31 December 2023**

## Detailed list of unit standards – classification, title, level, and credits

All changes are in **bold**.

Law and Security > Compliance and Law Enforcement > Compliance and Regulatory Control

ID	Title	Level	Credit	Review Category
4231	Conduct a compliance inspection	4	4	D

Law and Security > Public Sector Compliance > Public Sector Compliance Investigations

ID	Title	Level	Credit	Review Category
26927	Demonstrate advanced interviewing skills for regulatory compliance investigations <b>Plan, conduct and evaluate advanced investigative interviews in a regulatory environment</b>	6	20	B
29207	Plan, and manage and lead complex regulatory compliance investigations <b>Plan, manage and lead complex regulatory investigations</b>	6	40	B
29208	Demonstrate knowledge of controlling, assessing, and managing scenes <b>Explain controlling, assessing, and managing scenes for complex regulatory investigations</b>	6	5	B
29209	Demonstrate knowledge of managing material evidence, including exhibits, for regulatory compliance investigations <b>Explain activities and considerations for managing material evidence for regulatory investigations</b>	6	5	B
29210	Demonstrate knowledge of victim and witness liaison for regulatory compliance investigations <b>Demonstrate knowledge of victim and witness liaison for regulatory investigations</b>	6	5	B
29211	Demonstrate knowledge of managing investigations file documentation for complex regulatory compliance investigations <b>Demonstrate knowledge of and evaluate management of file documentation for complex regulatory investigations</b>	6	3	B
29212	Analyse information, and make and report decisions, in relation to complex regulatory compliance investigations <b>Analyse information, and make and report decisions, in relation to complex regulatory investigations</b>	6	14	B
29213	Demonstrate knowledge of communications in a complex regulatory compliance investigations context <b>Explain activities and considerations for managing communications in a complex regulatory investigations context</b>	6	3	B
29214	Demonstrate knowledge of judicial proceedings in regulatory compliance investigations <b>Demonstrate knowledge of judicial proceedings in regulatory investigations</b>	6	5	B

29215	Demonstrate knowledge of the law relevant to complex regulatory compliance investigations <b>Demonstrate knowledge of the law relevant to complex regulatory investigations</b>	6	20	B
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Law and Security > Public Sector Compliance > Public Sector Compliance Operations

ID	Title	Level	Credit	Review Category
18503	Demonstrate knowledge of the intelligence analysis process <b>Demonstrate knowledge of the intelligence analysis process in a regulatory context</b>	4	5	B
26904	Demonstrate and apply knowledge of keeping oneself and others safe in compliance situations with potential for conflict <b>Demonstrate and apply knowledge of keeping oneself and others safe in regulatory situations with potential for conflict</b>	4	5	B
29179	Demonstrate core knowledge of regulatory compliance and New Zealand's regulatory system <b>Demonstrate core knowledge of New Zealand's regulatory system and regulatory practice</b>	3	5	B
29180	Demonstrate core knowledge of regulatory concepts and regulatory models <b>Demonstrate and apply core knowledge of regulatory concepts and regulatory models</b>	3	8	B
29181	Demonstrate core knowledge of law development and concepts to underpin regulatory compliance practice <b>Demonstrate and apply core knowledge of law development and legal concepts that underpin regulatory practice</b>	3	7	B
29182	Demonstrate core knowledge of regulatory compliance activities <b>Demonstrate core knowledge of regulatory activities</b>	3	10	B
29183	Demonstrate core knowledge of managing information, records and evidence in a regulatory environment <b>Demonstrate and apply core knowledge of managing information, records and evidence in a regulatory environment</b>	3	2	B
29184	Demonstrate core knowledge of conduct, communication and interaction in a regulatory compliance context <b>Demonstrate and apply core knowledge of conduct, communication and interaction in a regulatory context</b>	3	5	B
29185	Demonstrate operational knowledge of the law in a regulatory compliance context <b>Demonstrate operational knowledge of law in a regulatory context in New Zealand</b>	4	12	B
29186	Demonstrate operational knowledge of regulatory compliance activities <b>Demonstrate operational knowledge of regulatory activities</b>	4	8	B

29187	Demonstrate operational knowledge of using interventions to achieve regulatory compliance outcomes <b>Demonstrate operational knowledge of interventions used to achieve regulatory outcomes</b>	4	8	B
29188	Demonstrate operational knowledge of communication to achieve regulatory compliance outcomes <b>Demonstrate operational knowledge of communication to achieve regulatory outcomes</b>	4	4	B
29189	Apply operational knowledge of regulatory compliance in an operational regulatory compliance context <b>Apply operational knowledge of regulatory practice in a regulatory environment</b>	4	8	B
29190	Contribute to the intelligence processes in a regulatory compliance organisation <b>Contribute to the intelligence process in a regulatory organisation</b>	4	5	B
29191	Conduct routine exit and entry control regulatory compliance activities <b>Conduct routine entry and exit control regulatory activities</b>	4	10	B
29192	Conduct routine educate and/or assist regulatory compliance activities <b>Conduct routine educate and/or assist regulatory activities</b>	4	10	B
29193	Conduct routine regulatory compliance checks	4	10	B
29194	Conduct physical enforcement as a routine activity in regulatory compliance in accordance with statutory powers <b>Conduct physical enforcement as a routine activity in regulatory practice</b>	4	10	B
29195	Conduct routine regulatory compliance operations <b>Conduct routine regulatory operations</b>	4	10	B
29196	Conduct routine regulatory compliance investigations <b>Conduct routine regulatory investigations</b>	4	10	B
29197	Carry out regulatory compliance interventions <b>Carry out regulatory interventions</b>	4	10	B
29198	Create and manage regulatory compliance records <b>Produce and manage regulatory records in a regulatory environment</b>	4	5	B
29199	Demonstrate and apply knowledge of a risk-based approach to regulatory compliance activities <b>Describe and apply knowledge of a risk-based approach to regulatory activities</b>	5	5	B
29200	Engage with stakeholders in a regulatory compliance environment <b>Engage with stakeholders in a regulatory environment to achieve regulatory outcomes</b>	5	5	B
29201	Plan, manage and review regulatory compliance activities <b>Plan, manage, and evaluate regulatory activities</b>	5	15	B
29202	Conduct and conclude regulatory conformance audits <b>Conduct, analyse, and report on regulatory conformance audits</b>	5	20	B

29203	Conduct and conclude regulatory compliance inspections <b>Conduct, analyse, and report on regulatory inspections</b>	5	20	B
29204	Conduct, analyse and report on regulatory compliance investigations <b>Conduct, analyse, and report on regulatory investigations</b>	5	20	B
29205	Conduct regulatory compliance operations	5	15	D
29206	Facilitate regulatory compliance operations	5	15	D
29216	Plan, manage and conduct, and evaluate interviews in a regulatory compliance context <b>Plan, manage and conduct, and evaluate interviews in a regulatory context</b>	5	15	B
32861	<b>Use questioning and/or other information gathering techniques for a regulatory inspection activity</b>	5	10	New
32862	<b>Apply and maintain knowledge of legislative standards and technical expertise in a regulatory inspection context</b>	5	5	New