

<b>Title</b>	<b>Describe and supervise the compliance system in a seafood operation</b>		
<b>Level</b>	<b>4</b>	<b>Credits</b>	<b>10</b>

<b>Purpose</b>	<p>This unit standard is for people working in a seafood operation.</p> <p>People credited with this unit standard are able to describe: the compliance system and key features that comprise the individual systems; and non-compliance and corrective action for the compliance system, in a seafood operation. They are also able to supervise the compliance system in a seafood operation.</p>
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<b>Classification</b>	Seafood > Seafood Processing
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<b>Available grade</b>	Achieved
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### Guidance Information

- 1 All evidence presented in this unit standard must be in accordance with:
  - Workplace procedures;
  - Animal Products Act 1999;
  - Food Act 2014;
  - Health and Safety at Work 2015;
 and any subsequent amendments.
  
- 2 Definitions
 

*Corrective action* refers to action taken following the identification of a noncompliance issue. It includes restoring control, dealing with any affected product, identifying the root cause of the non-compliance and putting steps in place to prevent reoccurrence.

*Compliance system* refers to an all-encompassing system, implemented by seafood operations in order to meet the requirements of the Animal Products Act 1999 or the Food Act 2014, such as a Risk Management Programme or a Food Control Plan. The compliance system is comprised of a number of individual systems.

*Individual system* refers to an individual system or procedure that has been implemented in a seafood operation as part of the all-encompassing compliance system. These individual systems may also be known as support systems or prerequisite programmes.

*Workplace procedures* refer to the policies and procedures set out in a verbal or written form by the employer or organisation. Procedures must be consistent with current legislative requirements and manufacturer's recommendations or instructions where relevant.

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## Outcomes and performance criteria

### Outcome 1

Describe the compliance system and key features that comprise the individual systems in a seafood operation.

#### Performance criteria

1.1 Describe the structure of the compliance system in the seafood operation.

Range legislation, responsibility, individual systems included.

1.2 Describe the key features of the individual systems that comprise the compliance system.

Range evidence of six individual systems is required.

### Outcome 2

Describe non-compliance and corrective action for the compliance system in a seafood operation.

#### Performance criteria

2.1 Describe examples of potential non-compliance, and the associated corrective action and measures that can be taken to prevent reoccurrence.

Range two examples of non-compliance for each of the six individual systems is required.

### Outcome 3

Supervise the compliance system in a seafood operation.

#### Performance criteria

3.1 Supervise monitoring staff.

Range monitoring frequency, identification of non-compliance, corrective action, completion of records.

3.2 Review monitoring records to confirm accuracy.

3.3 Implement corrective action for any identified noncompliance.

3.4 Complete records and carry out any required reporting.

<b>Planned review date</b>	31 December 2028
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#### Status information and last date for assessment for superseded versions

Process	Version	Date	Last Date for Assessment
Registration	1	23 October 1998	31 December 2011
Review	2	29 March 2006	31 December 2011
Review	3	9 December 2010	31 December 2020
Review	4	24 January 2019	N/A
Rollover	5	29 February 2024	N/A

<b>Consent and Moderation Requirements (CMR) reference</b>	0123
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This CMR can be accessed at <http://www.nzqa.govt.nz/framework/search/index.do>.

#### Comments on this unit standard

Please contact the Muka Tangata - People, Food and Fibre Workforce Development Council [qualifications@mukatangata.nz](mailto:qualifications@mukatangata.nz) if you wish to suggest changes to the content of this unit standard.