

Title	Demonstrate knowledge of the thoroughbred racing industry judicial system		
Level	2	Credits	3

Purpose	<p>This unit standard is for apprentice jockeys.</p> <p>People credited with this unit standard are able to demonstrate knowledge of: the hearing process; the way in which evidence is given at a judicial enquiry by the jockey and connections when charged with a riding offence; the penalties that can be administered to a jockey found guilty of a riding offence under the New Zealand Rules of Racing; and the steps to be taken by a jockey to obtain a review of a judicial decision.</p>
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Classification	Equine > Thoroughbred Racing
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Available grade	Achieved
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Guidance Information

- 1 Legislation relevant to this unit standard includes but is not limited to the Health and Safety in Employment Act 1992, and its subsequent amendments.
- 2 People will need to have access to a copy of the New Zealand Rules of Racing which are obtainable from New Zealand Thoroughbred Racing Inc, PO Box 38386, Wellington Mail Centre, Telephone 04 576 6240 or at <http://www.nzracing.co.nz/About/RulesofRacing.aspx>.
- 3 Connections of the jockey refer to the employers or their nominated representatives, who are responsible for the apprentice jockey on raceday.
- 4 Notice of Appeal is available from New Zealand Judicial Control Authority, PO Box 826, CAMBRIDGE, Telephone 07 827 7816 or at <http://www.jca.org.nz>.

Outcomes and performance criteria

Outcome 1

Demonstrate knowledge of the hearing process.

Performance criteria

- 1.1 Description of the hearing process identifies two situations where the jockey may go before the judicial committee.

- 1.2 Description of the hearing process identifies officials present at a judicial hearing in the Enquiry Room and their roles.
- Range Judicial Committee, Stipendiary Steward, Assistant Stipendiary Steward.
- 1.3 Description identifies the timing of the presence of connections, interested parties, and other witnesses.
- 1.4 Description identifies the importance of the Stipendiary Steward's role in the hearing process.
- Range details of offence and persons involved, notice of completion of documentation according to the New Zealand Rules of Racing, rule under which the enquiry is taken, introducing connections of the horse to Judicial Committee.
- 1.5 Description of the hearing process identifies the way in which a jockey must give evidence relating to a protest.
- 1.6 Description identifies reasons why hearings are lodged.
- 1.7 Description of the hearing process identifies the procedure for providing evidence and cross-examination of evidence by all parties concerned.
- Range jockey's witnesses, other parties' evidence and witnesses, Stipendiary Steward's evidence and witnesses.
- 1.8 Description of the hearing process identifies reasons for providing opportunity for submissions to all parties.

Outcome 2

Demonstrate knowledge of the way in which evidence is given at a judicial enquiry by the jockey and connections when charged with a riding offence.

Performance criteria

- 2.1 Description of a judicial enquiry identifies the procedure for a jockey to follow on advice that an offence has been committed.
- Range arrange for employer or representative to be present, provide explanation to address the charge.
- 2.2 Description of a judicial enquiry identifies the officials present at a judicial hearing in the Enquiry Room and outlines their roles.
- Range Judicial Committee, Stipendiary Steward, Assistant Stipendiary Steward.

- 2.3 Description identifies the order in which evidence is heard.
Range connections, interested parties, other witnesses.
- 2.4 Description identifies the Stipendiary Steward's role.
Range includes but is not limited to – providing details of offence and persons involved and rule under which the enquiry is taken, introducing connections of the horse to the Judicial Committee, giving evidence, interpreting or explaining the replay.
- 2.5 Description identifies the procedures for providing evidence and cross-examination of witnesses at a judicial enquiry.
Range jockey's witnesses, other parties' evidence and witnesses, Stipendiary Steward's evidence and witnesses, judicial committee.
- 2.6 Description identifies the reasons why there is the opportunity for the person charged and/or their representative to make a comment on the video used in the enquiry and a final statement during the judicial enquiry.
Range summarise case, reinforce points, clarify points.

Outcome 3

Demonstrate knowledge of the penalties that can be administered to a jockey found guilty of a riding offence under the New Zealand Rules of Racing.

Range reprimand, fine, suspension, disqualification.

Performance criteria

- 3.1 Description of penalties identifies the forms and consequences.
- 3.2 Description of penalties identifies situations where forms of punishment may be imposed.

Outcome 4

Demonstrate knowledge of the steps to be taken by a jockey to obtain a review of a judicial decision.

Performance criteria

- 4.1 Reasons why the employer should be notified of the intended appeal are explained in terms of support, experience, and financial commitment.
- 4.2 Grounds for lodging an appeal and second Notice of Appeal are outlined in accordance with the New Zealand Rules of Racing.
Range fine exceeds \$50, disqualification or suspension exceeds 14 days.

- 4.3 Procedure for lodging a review of penalty is outlined in terms of the requirements of the Judicial Control Authority.

Range Notice of Appeal time limits and filing fee.
- 4.4 Description identifies the roles of members of the Appeal Judicial Committee.
- 4.5 Situations where legal representation may be sought are outlined in terms of the complexity of the issue and fair representation.

This unit standard is expiring. Assessment against the standard must take place by the last date for assessment set out below.

Status information and last date for assessment for superseded versions

Process	Version	Date	Last Date for Assessment
Registration	1	28 October 1994	31 December 2023
Review	2	23 October 1996	31 December 2023
Review	3	24 June 1998	31 December 2023
Review	4	25 September 2003	31 December 2023
Review	5	17 October 2008	31 December 2023
Review	6	25 November 2021	31 December 2023

Consent and Moderation Requirements (CMR) reference	0018
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This CMR can be accessed at <http://www.nzqa.govt.nz/framework/search/index.do>.