

Title	Conduct raceday judicial proceedings for penalties, issue decisions, and fix penalties		
Level	5	Credits	10

Purpose	People credited with this unit standard are able to conduct raceday judicial proceedings to fix penalties, and issue decisions and fix penalties based on submissions received at raceday judicial proceedings.
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Classification	Equine > Equine Industry
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Available grade	Achieved
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Guidance Information

1 References

Judicial Control Authority (JCA) for Racing, *Guide for Judicial Committees, Judicial Proceedings under the Rules of Racing and the Rules of Harness Racing*, (referred to as the 'Green Book') available from the Executive Officer of the JCA, email exec.officer@jca.co.nz;

The *Rules of Harness Racing* can be obtained from Harness Racing New Zealand, PO Box 459, Christchurch 8140, Telephone 03 964 1200 or from the official website <http://www.hrnz.co.nz/hrnz/OfficialDocuments/Rules.pdf>;

The *Rules of Racing* (NZ Thoroughbred Racing) can be obtained from New Zealand Thoroughbred Racing Inc, PO Box 38386, Wellington Mail Centre, Wellington 5045. Telephone 04 576 6240, or from the official website <http://www.nzracing.co.nz/>.

In the context of this unit standard, either the *Rules of Racing* or the *Rules of Harness Racing* are referred to as *the Rules*.

2 Assessment

Either the Rules of Racing or the Rules of Harness Racing may be used in the assessment of this unit standard.

Outcomes and performance criteria

Outcome 1

Conduct raceday judicial proceedings to fix penalties.

Performance criteria

- 1.1 All parties required to be at the hearing are called or are in attendance in accordance with the Green Book and the Rules.

- 1.2 Submissions are heard in accordance with the Green Book and the Rules.
 Range examples – oral, on film.
- 1.3 Proceedings are recorded in accordance with the Green Book and the Rules.
- 1.4 Judicial proceedings are conducted in a manner which is efficient, focused, firm, courteous to all involved and in accordance with the Green Book.
- 1.5 Judicial proceedings are conducted in a manner which is consistent with the principles of natural justice referred to in the Green Book.
 Range natural justice – the right of the person against whom a charge has been found to have been proved to be heard, the rule against bias.

Outcome 2

Issue decisions and fix penalties based on submissions received at raceday judicial proceedings.

Performance criteria

- 2.1 Decisions are given orally based on submissions received during the proceeding in accordance with the Green Book and the Rules.
- 2.2 Decisions are communicated to all parties in accordance with the Green Book.
- 2.3 Decisions involving a penalty are reported to the media unless the Chairman directs otherwise in accordance with the Green Book.
- 2.4 Penalties are fixed according to the breach, the Green Book and the Rules.
 Range penalties may include – fine, suspension, disqualification.
- 2.5 The situations where penalties may be deferred are described in accordance with the Green Book and the Rules.

This unit standard is expiring. Assessment against the standard must take place by the last date for assessment set out below.

Status information and last date for assessment for superseded versions

Process	Version	Date	Last Date for Assessment
Registration	1	20 February 2009	31 December 2023
Review	2	29 July 2021	31 December 2023

Consent and Moderation Requirements (CMR) reference	0018
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This CMR can be accessed at <http://www.nzqa.govt.nz/framework/search/index.do>.