Title	Demonstrate knowledge of regulatory oversight and governance in the aviation industry		
Level	5	Credits	15

Purpose	People credited with this unit standard are, in an aviation environment, able to: demonstrate knowledge of the role of governance and the governance framework; demonstrate knowledge of regulatory oversight techniques; demonstrate knowledge of risk management, quality assurance, compliance, and their role in governance; and review governance arrangements.

Classification	Aviation > Aviation - Core

Available grade	Achieved

Guidance Information

1	Resources may include but are not limited to – International Civil Aviation Organization. 2018. Doc 9859, *Safety Management Manual*. 4th ed. ICAO, available at https://store.icao.int/en/safety-management-manual-doc-9859.

2	All references to the CAA refer specifically to the Civil Aviation Authority of New Zealand.

3	Industry standards are those set in place by the CAA.

4	Industry texts may include but are not limited to – ICAO Safety Management Manual, CAA Rules, CAA Advisory Circulars, operator exposition.

5	Definitions
	Knowledge refers to the knowledge, understanding, and application of the subject matter.
	Organisational requirements include any legal requirements, standards, codes of practice, organisational and/or site requirements, industry best practices, and manufacturers' instructions.

Outcomes and performance criteria

Outcome 1

Demonstrate knowledge of the role of governance and the governance framework in an aviation environment.

Performance criteria

1.1   The roles and functions of personnel in governance bodies or structures are identified and are differentiated from the role and function of management.

1.2   The boundary of authority and accountabilities of governance bodies is identified.

1.3   The difference between the role and function of governance in an organisation, and that of management is described in accordance with industry standards and texts.

1.4   Channels of communication between personnel in the governance and management bodies are identified.

1.5   Contributions by personnel to governance in the organisation are identified.

1.6   The role of the governance body or structure is described in terms of defining and influencing the culture of the organisation.

Outcome 2

Demonstrate knowledge of regulatory oversight techniques in an aviation environment.

Performance criteria

2.1   The role and function of a regulator is described in accordance with industry standards and texts.

2.2   The role of performance standards and rules in regulatory oversight are described in accordance with industry standards and texts.

2.3   The regulator's surveillance techniques and their application and effectiveness for an aviation environment are described in accordance with industry standards and texts.

2.4   Intervention and enforcement techniques are described in accordance with industry standards and texts.

2.5   Emerging techniques and processes for regulatory oversight for an aviation environment are described in accordance with industry standards and texts.

Outcome 3

Demonstrate knowledge of risk management, quality assurance, compliance, and their role in governance in an aviation environment.

Performance criteria

3.1   The principles and framework of risk management are described in terms of organisation governance.

3.2 The principles and function of a quality assurance regime are described in terms of the governance framework.

3.3 Techniques to ensure compliance and how they relate to governance are described in accordance with industry standards and texts.

3.4 The use of audit as an assurance technique, risk management monitoring tool, and compliance monitor is explained in accordance with industry standards and texts.

3.5 The interconnectedness of risk management, assurance and compliance in best practice governance is described in accordance with industry standards and texts.

Outcome 4

Review governance arrangements in an aviation environment.

Performance criteria

4.1 Legislation, policies, standards, and guidelines impacting on public sector governance are identified and key requirements are described in accordance with industry standards and texts.

4.2 The organisation's governance framework is analysed to identify parameters and compare them to legislative and organisational requirements.

4.3 Governance data is gathered and analysed to evaluate the organisation's deployment of its governance framework.

4.4 Previous reviews, audits, or self-assessments are analysed to see how the organisation has measured.

4.5 The effectiveness of the governance framework and how or whether the organisation has learnt from the experience is analysed in accordance with industry standards and texts.

4.6 The effectiveness and compliance of the governance framework is determined, shortcomings are identified, and recommendations are formulated to incorporate learning from previous reviews and any improvements to processes and practices.

4.7 Recommendations are discussed with management and staff, feedback is incorporated, and a report is prepared and presented in accordance with organisational requirements.

Planned review date	31 December 2027

Status information and last date for assessment for superseded versions

Process	Version	Date	Last Date for Assessment
Registration	1	15 April 2011	31 December 2024
Rollover	2	26 April 2018	31 December 2024
Review	3	29 September 2022	N/A

Consent and Moderation Requirements (CMR) reference	0028

This CMR can be accessed at http://www.nzqa.govt.nz/framework/search/index.do.

Comments on this unit standard

Please contact Ringa Hora Services Workforce Development Council qualifications@ringahora.nz if you wish to suggest changes to the content of this unit standard.