

Title	Demonstrate and apply knowledge of safety auditing for adventure activities		
Level	6	Credits	15

Purpose	People credited with this unit standard are able to: demonstrate an understanding of the regulatory framework for the safety auditing of adventure activities; demonstrate and apply knowledge of safety management systems when auditing adventure activities; demonstrate and apply knowledge of the competencies required for auditing adventure activities; and demonstrate knowledge of the adventure activities sector.
----------------	---

Classification	Recreation and Sport > Recreation and Sport - Management
-----------------------	--

Available grade	Achieved
------------------------	----------

Prerequisite	People seeking credit for this unit standard must hold a relevant qualification or attestation for an activity as stated in the <i>New Zealand Adventure Activities Certification Scheme</i> .
---------------------	--

Guidance Information

1 Definitions

Adventure activities refer to those activities defined by the Health and Safety at Work (Adventure Activities) Regulations 2016.

ISO (as in ISO 19011) stands for International Standards Organisation.

SMS stands for Safety Management Systems.

Scheme refers to *New Zealand Adventure Activities Certification Scheme: Requirements for bodies certifying adventure activity operators*.

2 References

For the purpose of this unit standard, the term *regulatory framework* refers to the following:

Activity Safety Guidelines (ASGs) and other associated guidance material

<http://www.supportadventure.co.nz/activity-safety-guidelines>.

Health and Safety at Work Act 2015;

Health and Safety at Work (Adventure Activities) Regulations 2016;

Health and Safety at Work (General Risk and Workplace Management) Regulations 2016;

ISO 31000:2018, *Risk management – Guidelines*;

ISO 19011:2018 *Guidelines for Auditing Management Systems*;

ISO 17021-1:2015 *Conformity assessment – Requirements for bodies providing audit and certification of management systems*;

New Zealand Adventure Activities Certification Scheme: Requirements for bodies certifying adventure activity operators, Joint Accreditation System of Australia and New Zealand (JAS-ANZ) and WorkSafe New Zealand, June 2017, and any subsequent amendments;
Safety Audit Standard for Adventure Activities: Requirements for a Safety Audit of Operators, WorkSafe New Zealand, March 2017, and any subsequent amendments.

Outcomes and performance criteria

Outcome 1

Demonstrate an understanding of the regulatory framework for the safety auditing of adventure activities.

Performance criteria

- 1.1 Explain the different components of the regulatory framework in terms of their relevance to the safety auditing of specific adventure activities.

Range three adventure activities from different outdoor environments.

Outcome 2

Demonstrate and apply knowledge of safety management systems when auditing adventure activities.

Range three audits under the Scheme, one of these involving organisations operating with multiple activities.

Performance criteria

- 2.1 Explain and evaluate risk and hazard management processes and their systematic implementation in accordance with the Safety Audit Standard for Adventure Activities.

- 2.2 Explain and evaluate standard operating procedures against evidence in accordance with the Safety Audit Standard for Adventure Activities.

Range staff competence, dynamic risk management, supervision structures, clothing and equipment, field communications, ancillary services.

- 2.3 Evaluate emergency preparedness and response plans and procedures in accordance with the Safety Audit Standard for Adventure Activities.

- 2.4 Explain and evaluate incident management policies and procedures in accordance with the Safety Audit Standard for Adventure Activities.

Range incident response, incident review.

- 2.5 Identify and analyse processes to ensure continual improvement of SMS and safety outcomes in accordance with the Safety Audit Standard for Adventure Activities.

Range document control, internal review of SMS, internal review of adventure activities.

Outcome 3

Demonstrate and apply knowledge of the competencies required for auditing adventure activities.

Range three audits under the Scheme, one of these requiring a team of auditors and/or technical experts.

Performance criteria

- 3.1 Explain and apply non-technical competencies for auditing adventure activities in accordance with the Scheme.

Range generic management, communications, assessment.

- 3.2 Explain and apply technical qualification and/or competencies for auditing adventure activities in accordance with the Scheme.

Range qualifications, attestations.

- 3.3 Explain the range of qualifications or proof of staff competence in the outdoors sector as it applies to the Scheme.

Range national bodies, international, in-house, New Zealand Qualifications Framework.

- 3.4 Explain and apply the roles of the audit team in accordance with the Scheme.

Range lead auditor, audit certifier, auditor, technical experts.

- 3.5 Explain and prepare the components of the audit plan in accordance with the Scheme.

Outcome 4

Demonstrate knowledge of the adventure activities sector.

Performance criteria

- 4.1 Describe the roles of organisations involved in the adventure activities sector in relation to the regulatory framework for the safety auditing of adventure activities.
- Range includes but is not limited to – adventure activities operators, peak industry bodies, government agencies, conformity assessment bodies (CB), training providers, accreditation body (JAS-ANZ).
- 4.2 Explain significant incidents that have occurred in the adventure activities sector along with the associated learnings that relate to safety management systems and/or safety auditing in relation to the Health and Safety at Work (Adventure Activities) Regulations 2016.
- Range four significant incidents.

This unit standard is expiring. Assessment against the standard must take place by the last date for assessment set out below.

Status information and last date for assessment for superseded versions

Process	Version	Date	Last Date for Assessment
Registration	1	20 February 2014	31 December 2020
Review	2	27 June 2019	31 December 2026
Review	3	12 December 2024	31 December 2026

Consent and Moderation Requirements (CMR) reference

0099

This CMR can be accessed at <http://www.nzqa.govt.nz/framework/search/index.do>.