

Title	Demonstrate core knowledge of New Zealand's regulatory system and regulatory practice		
Level	3	Credits	5

Purpose	<p>People credited with this unit standard are able to:</p> <ul style="list-style-type: none"> – demonstrate core knowledge of regulation, including its purpose and intended outcomes, and acting in the public interest; – demonstrate introductory knowledge of Te Ao Māori in relation to regulatory practice; – provide an overview of New Zealand's regulatory system; and – describe an organisation's regulatory role.
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Classification	Public Sector Compliance > Public Sector Compliance Operations
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Available grade	Achieved
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Guidance Information

1 Definitions

Organisational requirements refer to instructions to staff on policies, procedures, and methodologies which are documented and are available in the workplace. This includes an organisation's obligations under Te Tiriti o Waitangi/The Treaty of Waitangi.

Te Tiriti o Waitangi refers to the Māori language text of The Treaty.

Treaty of Waitangi refers to the English language text of The Treaty.

The Treaty refers to both the Māori and English language texts of the Te Tiriti o Waitangi/The Treaty of Waitangi considered as a whole.

The principles of The Treaty refer to the core concepts that underpin The Treaty.

2 The Regulatory sector has a number of terms with specific usage. For current definitions visit [Programme Guidance-Skills](#).

3 References include but are not limited to:

Health and Safety at Work Act (HSWA) 2015;

Local Government Official Information and Meetings Act 1987;

Official Information Act 1982;

Privacy Act 2020;

Te Tiriti o Waitangi/The Treaty of Waitangi;

Specific legislation mandating the powers and duties of a specific organisation with respect to its regulatory role and/or any other legislation applicable to a particular regulatory situation such as the Fisheries Act 1996, Resource Management Act 1991;

and any subsequent amendments and replacements.

4 Range

- Demonstration of knowledge and skills must be consistent with any applicable code or codes of conduct such as the New Zealand State Services Code of Conduct, *Standards of Integrity and Conduct* (available from <http://www.publicservice.govt.nz>) and/or any other agency specific code or codes of conduct and/or ethics.
- Demonstration of knowledge and skills must come from a regulatory organisation which may be the candidate's employer or may come from a case study using simulated scenarios.
- There is more than one set of Treaty principles that can be referenced. Any set of Te Tiriti o Waitangi/The Treaty of Waitangi principles is acceptable so long as both versions of The Treaty are referred to.
- Regulatory role does not include an organisation's internal regulatory practice, e.g. ensuring its own staff comply with HSWA 2015.

Outcomes and performance criteria

Outcome 1

Demonstrate core knowledge of regulation, including its purpose and intended outcomes, and acting in the public interest.

Performance criteria

- 1.1 Describe the purpose of regulation in terms of contribution to government outcomes and the public good.
- 1.2 Identify types of outcomes sought by regulators in accordance with the regulatory strategy of a regulatory organisation.
- Range may include but is not limited to – harm prevention, security, compliance with the law.
- 1.3 Explain the public interest as it relates to regulatory practice.

Outcome 2

Demonstrate introductory knowledge of Te Ao Māori in relation to regulatory practice.

Range must include the application of the principles of Te Tiriti o Waitangi/The Treaty of Waitangi in a regulatory context;
may include but is not limited to – te reo Māori, tikanga Māori, Aotearoa New Zealand's history as a nation, engagement with Māori, Māori worldview, equity and racism.

Performance criteria

- 2.1 Identify the principles of Te Tiriti o Waitangi/The Treaty of Waitangi and their importance to New Zealand's government and society.

- 2.2 Describe the application of one principle of Te Tiriti o Waitangi/The Treaty of Waitangi in a regulatory context.
- 2.3 Describe aspects of Te Ao Māori as it is applied to regulatory practice in accordance with organisational requirements.

Outcome 3

Provide an overview of New Zealand's regulatory system.

Performance criteria

- 3.1 Provide an overview of New Zealand's regulatory system.

Range includes but is not limited to – key entities, and their functions and responsibilities, regulatory stewardship; key entities include but are not limited to – central government, local government, regulated parties.

Outcome 4

Describe an organisation's regulatory role.

Performance criteria

- 4.1 Describe an organisation's regulatory role in terms of its mandate and place within New Zealand's regulatory system.

Planned review date	31 December 2026
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Status information and last date for assessment for superseded versions

Process	Version	Date	Last Date for Assessment
Registration	1	18 February 2016	31 December 2023
Review	2	28 October 2021	N/A

Consent and Moderation Requirements (CMR) reference	0121
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This CMR can be accessed at <http://www.nzqa.govt.nz/framework/search/index.do>.

Comments on this unit standard

Please contact The Skills Organisation reviewcomments@skills.org.nz if you wish to suggest changes to the content of this unit standard.