

Title	Demonstrate core knowledge of regulatory activities		
Level	3	Credits	10

Purpose	<p>People credited with this unit standard are able to:</p> <ul style="list-style-type: none"> – demonstrate core knowledge of intelligence as a regulatory activity; and – demonstrate core knowledge of other regulatory activities.
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Classification	Public Sector Compliance > Public Sector Compliance Operations
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Available grade	Achieved
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Guidance Information

1 Definitions

Information refers to unprocessed, raw and/or perishable material or data, that could be collected from any source.

Intelligence refers to information produced through the analysis of other information.

Organisational requirements refer to instructions to staff on policies, procedures, and methodologies which are documented and are available in the workplace. This includes an organisation's obligations under Te Tiriti o Waitangi/The Treaty of Waitangi.

2 The Regulatory sector has a number of terms with specific usage. For current definitions visit [Programme Guidance-Skills](#).

3 References include but are not limited to:

Te Tiriti o Waitangi/The Treaty of Waitangi;

Specific legislation mandating the powers and duties of a specific organisation with respect to its regulatory role and/or any other legislation applicable to a particular regulatory situation (e.g. Fisheries Act 1996, Resource Management Act 1991).

4 Range

- Demonstration of knowledge and skills must be consistent with any applicable code or codes of conduct such as the New Zealand State Services Code of Conduct, Standards of Integrity and Conduct (available from <http://www.publicservice.govt.nz>) and/or any other agency specific code or codes of conduct and/or ethics.
- Demonstration of knowledge and skills must come from a regulatory organisation which may be the candidate's employer or may come from a case study using simulated scenarios.

Outcomes and performance criteria

Outcome 1

Demonstrate core knowledge of intelligence as a regulatory activity.

Performance criteria

- 1.1 Describe the purpose and principles of intelligence.
- 1.2 Explain the difference between information and intelligence.
- 1.3 Describe an intelligence cycle used in a regulatory context.

Outcome 2

Demonstrate core knowledge of other regulatory activities.

Range at least four regulatory activities from – entry and exit control, educating and/or assisting, checking compliance, conducting operations, investigating, sanctioning.

Performance criteria

- 2.1 Describe the purpose of each regulatory activity.
- 2.2 Provide an overview of each regulatory activity.

Planned review date	31 December 2026
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Status information and last date for assessment for superseded versions

Process	Version	Date	Last Date for Assessment
Registration	1	18 February 2016	31 December 2023
Review	2	28 October 2021	N/A

Consent and Moderation Requirements (CMR) reference	0121
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This CMR can be accessed at <http://www.nzqa.govt.nz/framework/search/index.do>.

Comments on this unit standard

Please contact The Skills Organisation reviewcomments@skills.org.nz if you wish to suggest changes to the content of this unit standard.