

<b>Title</b>	<b>Demonstrate operational knowledge of regulatory activities</b>		
<b>Level</b>	<b>4</b>	<b>Credits</b>	<b>8</b>

<b>Purpose</b>	People credited with this unit standard are able to: <ul style="list-style-type: none"> <li>– demonstrate knowledge of the purpose and components of regulatory activities; and</li> <li>– describe Te Ao Māori in relation to regulatory activities.</li> </ul>
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<b>Classification</b>	Public Sector Compliance > Public Sector Compliance Operations
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<b>Available grade</b>	Achieved
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### Guidance Information

#### 1 Definitions

*Organisational requirements* refer to instructions to staff on policies, procedures, and methodologies which are documented and are available in the workplace. This includes an organisation's obligations under Te Tiriti o Waitangi/The Treaty of Waitangi.

*Te Tiriti o Waitangi* refers to the Māori language text of the Treaty.

*Treaty of Waitangi* refers to the English language text of the Treaty.

#### 2 The Regulatory sector has a number of terms with specific usage. For current definitions visit [Programme Guidance- Skills](#).

#### 3 References include but are not limited to:

Te Tiriti o Waitangi/Treaty of Waitangi;

Specific legislation mandating the powers and duties of a specific organisation with respect to its regulatory role and/or any other legislation applicable to a particular regulatory situation (e.g. Fisheries Act 1996, Resource Management Act 1991); and any subsequent amendments and replacements.

#### 4 Range

- Demonstration of knowledge and skills must be consistent with any applicable code or codes of conduct such as the New Zealand State Services Code of Conduct, *Standards of Integrity and Conduct* (available from <http://publicservice.govt.nz>) and/or any other agency specific code or codes of conduct and/or ethics.
- Demonstration of knowledge and skills must come from a regulatory organisation which may be the candidate's employer or may come from a case study.
- Examples of types of components of regulatory activities are – policies, processes, stages, procedures, approaches, methods, tools.

## Outcomes and performance criteria

### Outcome 1

Demonstrate knowledge of the purpose and components of regulatory activities.

Range regulatory activities include – operational knowledge of intelligence, an entry and exit control process used in a regulatory context, an education and assistance process and/or tools used in a regulatory context, a process for checking compliance used in a regulatory context, an investigations process used in a regulatory context.

### Performance criteria

- 1.1 Describe the purpose of the regulatory activities in accordance with organisational requirements.
- 1.2 Explain components of regulatory activities relevant to an operational regulatory context in accordance with organisational requirements.

### Outcome 2

Describe Te Ao Māori in relation to regulatory activities.

Range may include but is not limited to – te reo Māori, tikanga Māori, Aotearoa New Zealand's history as a nation, engagement with Māori, Māori worldview, equity and racism, Te Tiriti o Waitangi/The Treaty of Waitangi.

### Performance criteria

- 2.1 Describe Te Ao Māori in relation to regulatory activities in accordance with organisational requirements.

Range evidence of two regulatory activities is required.

<b>Planned review date</b>	31 December 2026
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### Status information and last date for assessment for superseded versions

Process	Version	Date	Last Date for Assessment
Registration	1	18 February 2016	31 December 2023
Review	2	28 October 2021	N/A

<b>Consent and Moderation Requirements (CMR) reference</b>	0121
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This CMR can be accessed at <http://www.nzqa.govt.nz/framework/search/index.do>.

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**Comments on this unit standard**

Please contact The Skills Organisation [reviewcomments@skills.org.nz](mailto:reviewcomments@skills.org.nz) if you wish to suggest changes to the content of this unit standard.