

<b>Title</b>	<b>Apply operational knowledge of regulatory practice in a regulatory environment</b>		
<b>Level</b>	<b>4</b>	<b>Credits</b>	<b>8</b>

<b>Purpose</b>	People credited with this unit standard are able to apply operational knowledge of regulatory practice in a regulatory environment.
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<b>Classification</b>	Public Sector Compliance > Public Sector Compliance Operations
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<b>Available grade</b>	Achieved
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### Guidance Information

#### 1 Definition

*Organisational requirements* refer to instructions to staff on policies, procedures, and methodologies which are documented and are available in the workplace. This includes an organisation's obligations under Te Tiriti o Waitangi/The Treaty of Waitangi.

#### 2 The Regulatory sector has a number of terms with specific usage. For current definitions visit [Programme Guidance- Skills](#).

#### 3 References include but are not limited to:

New Zealand Bill of Rights Act 1990;

Search and Surveillance Act 2012;

Te Tiriti o Waitangi/ The Treaty of Waitangi;

Specific legislation mandating the powers and duties of a specific organisation with respect to its regulatory role and/or any other legislation applicable to a particular regulatory situation (e.g. Fisheries Act 1996, Resource Management Act 1991); and any subsequent amendments or replacements.

#### 4 Range

- Demonstration of knowledge and skills must be consistent with any applicable code or codes of conduct such as the New Zealand State Services Code of Conduct, Standards of Integrity and Conduct (available from <http://publicservice.govt.nz>) and/or any other agency specific code or codes of conduct and/or ethics.
- Demonstration of knowledge and skills must come from a regulatory organisation which may be the candidate's employer or may come from a case study using simulated scenarios.
- All activities and evidence presented for outcomes and performance criteria in this unit standard must be in accordance with organisational requirements.

## Outcomes and performance criteria

### Outcome 1

Apply operational knowledge of regulatory practice in a regulatory environment.

Range regulatory situations may be actual and/or simulated; two situations are required.

### Performance criteria

1.1 Apply operational knowledge of the law.

Range knowledge of the law may include – legal systems, powers and obligations, offences, evidence.

1.2 Apply knowledge of regulatory activities and take steps to assure the health and safety of regulatory staff and others.

Range regulatory activities may include – intelligence, entry and exit control, education and/or assistance, checking compliance, audits, operations, investigations.

1.3 Apply knowledge of regulatory interventions.

Range regulatory interventions may include but is not limited to – infringement notice, formal warning, prosecution, formal directive, education, enforcement order, communication response, negotiated actions, renewal, suspension of licence, compliance notice, settlement, injunctions and seizing, detaining property and/or persons.

1.4 Apply knowledge of communicating effectively in a regulatory environment.

Range written and oral communication to organisational standards.

<b>Planned review date</b>	31 December 2026
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### Status information and last date for assessment for superseded versions

Process	Version	Date	Last Date for Assessment
Registration	1	18 February 2016	31 December 2023
Review	2	28 October 2021	N/A

<b>Consent and Moderation Requirements (CMR) reference</b>	0121
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This CMR can be accessed at <http://www.nzqa.govt.nz/framework/search/index.do>.

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**Comments on this unit standard**

Please contact The Skills Organisation [reviewcomments@skills.org.nz](mailto:reviewcomments@skills.org.nz) if you wish to suggest changes to the content of this unit standard.