

<b>Title</b>	<b>Explain controlling, assessing, and managing scenes for complex regulatory investigations</b>		
<b>Level</b>	<b>6</b>	<b>Credits</b>	<b>5</b>

<b>Purpose</b>	<p>This unit standard is for people currently employed as regulatory investigators.</p> <p>People credited with this unit standard are able to explain activities and considerations relating to:</p> <ul style="list-style-type: none"> <li>– initially controlling and assessing scenes for complex regulatory investigations;</li> <li>– controlling scenes in terms of preserving evidence for complex regulatory investigations; and</li> <li>– managing scene investigations for complex regulatory investigations.</li> </ul>
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<b>Classification</b>	Public Sector Compliance > Public Sector Compliance Investigations
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<b>Available grade</b>	Achieved
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### Guidance Information

- 1 Definitions
 

*Complex regulatory investigations* refer to high potential risk, consequences, or liability; high public interest; complex or multiple legal or jurisdictional aspects; multiple or hidden entities; complex relationships; unclear circumstances; uncooperative subjects; or that are protracted, requiring management of risks relating to lengthy investigations.

*Organisational requirements* refer to instructions to staff on policies, procedures, and methodologies which are documented and are available in the workplace. This includes an organisation's obligations under Te Tiriti o Waitangi/The Treaty of Waitangi.
- 2 The Regulatory sector has a number of terms with specific usage. For current definitions visit [Performance Guidance-Skills](#).
- 3 References include but are not limited to:
  - Evidence Act 2006;
  - Health and Safety at Work Act 2015;
  - Local Government Act 2002;
  - Criminal Proceeds (Recovery) Act 2009;
  - Search and Surveillance Act 2012;
  - Te Tiriti o Waitangi/The Treaty of Waitangi;

Specific legislation mandating the powers and duties of a specific organisation with respect to its regulatory role and/or any other legislation applicable to a particular regulatory situation (e.g. Fisheries Act 1996, Resource Management Act 1991); and any subsequent amendments and replacements.

#### 4 Range

- Demonstration of knowledge and skills must be consistent with any applicable code or codes of conduct such as the New Zealand State Services Code of Conduct, *Standards of Integrity and Conduct* (available from <http://publicservice.govt.nz>) and/or any other agency specific code or codes of conduct and/or ethics.
- All activities and evidence presented for outcomes and performance criteria in this unit standard must be in accordance with organisational requirements and needs of the situations.

## Outcomes and performance criteria

### Outcome 1

Explain activities and considerations relating to initially controlling and assessing scenes for complex regulatory investigations.

#### Performance criteria

- 1.1 Explain activities and considerations relating to establishing initial control in order to assess the scenes using available resources.
- Range may include but is not limited to – victim support, first aid, traffic management, identification and containment of potential evidence, people control.
- 1.2 Explain activities and considerations relating to identifying the boundaries of the scenes in terms of defining and controlling the area of interest for the investigation and determining authority to act.
- 1.3 Explain activities and considerations relating to identifying any additional resources that may be needed to ensure the scenes are secured and preserved to preserve and collect evidence.
- 1.4 Explain activities and considerations relating to identifying any additional resources that may be needed to attend to any people and/or environmental safety needs.
- Range may include but is not limited to – the Police, emergency management, or other critical scene assistance; victim support; medical assistance; spill control; traffic management.

Commented [KH1]: Missing a word? Current version says 'in order to'?

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### Outcome 2

Explain activities and considerations relating to controlling scenes in terms of preserving evidence for complex regulatory investigations.

**Performance criteria**

- 2.1 Explain activities and considerations relating to deploying any required additional resources.
- 2.2 Explain activities and considerations relating to recording all activities at the scenes.
- 2.3 Explain activities and considerations relating to preserving the scenes to prevent contamination of potential evidence.
- Range scene preservation includes at boundaries and may include but is not limited to – movement of people.
- 2.4 Explain activities and considerations relating to controlling any suspects and/or witnesses present in accordance with legal capacity.

**Outcome 3**

Explain activities and considerations relating to managing scene investigations for complex regulatory investigations.

Range management may include – activities and considerations relating to carrying out tasks oneself and/or directing others.

**Performance criteria**

- 3.1 Explain activities and considerations relating to screening and identifying persons relevant to the scenes to determine their relevance to the investigation, possible avenues of enquiry, and potential offences and defences.
- Range persons may include but are not limited to – suspects, complainants, witnesses.
- 3.2 Explain activities and considerations relating to ensuring interviews are recorded.
- 3.3 Explain activities and considerations relating to ensuring documents and/or other exhibits are identified and recorded prior to examination, processed, and secured, to ensure chain of evidence continuity.
- 3.4 Explain activities and considerations relating to conducting scene investigations.
- Range includes information management and may include but is not limited to – any ongoing scene security needs; identifying needs for remedial action, sanctioning, or further investigation.
- 3.5 Explain activities and considerations relating to monitoring people and/or environmental safety needs throughout the scene investigations.

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<b>Replacement information</b>	This unit standard replaced unit standard 26921.
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<b>Planned review date</b>	31 December 2026
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**Status information and last date for assessment for superseded versions**

Process	Version	Date	Last Date for Assessment
Registration	1	18 February 2016	31 December 2023
Review	2	28 October 2021	N/A

<b>Consent and Moderation Requirements (CMR) reference</b>	0121
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This CMR can be accessed at <http://www.nzqa.govt.nz/framework/search/index.do>.

**Comments on this unit standard**

Please contact The Skills Organisation [reviewcomments@skills.org.nz](mailto:reviewcomments@skills.org.nz) if you wish to suggest changes to the content of this unit standard.