

Title	Apply and maintain knowledge of legislative standards and technical expertise in a regulatory inspection context		
Level	5	Credits	5

Purpose	<p>People credited with this unit standard are able to:</p> <ul style="list-style-type: none"> – demonstrate and apply knowledge of legislative standards that apply to regulatory inspections conducted by a regulatory organisation; – apply technical subject matter expertise to a regulatory inspection; and – maintain currency of specialised technical knowledge relating to regulatory inspections.
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Classification	Public Sector Compliance > Public Sector Compliance Operations
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Available grade	Achieved
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Guidance Information

1 Definitions

Organisational requirements refer to instructions to staff on policies, procedures, and methodologies which are documented and are available in the workplace. This includes an organisation's obligations under Te Tiriti o Waitangi/The Treaty of Waitangi.

Subject matter expertise relates to knowledge of the subject being inspected, and may include but is not limited to objects, components, industry practices, environment.

2 The Regulatory sector has a number of terms with specific usage. For current definitions visit [Programme Guidance- Skills](#).

3 References include but are not limited to:

Health and Safety at Work Act 2015,

New Zealand Bill of Rights Act 1990;

Search and Surveillance Act 2012;

Te Tiriti o Waitangi/ The Treaty of Waitangi;

Specific legislation mandating the powers and duties of a specific organisation with respect to its regulatory role and/or any other legislation applicable to a particular regulatory situation (e.g. Customs and Excise Act 2018; Fisheries Act 1996, Resource Management Act 1991);

and any subsequent amendments and replacements.

4 Range

- Demonstration of knowledge and skills must be consistent with any applicable code or codes of conduct such as the New Zealand State Services Code of Conduct, *Standards of Integrity and Conduct* (available from <http://www.publicservice.govt.nz>) and/or any other agency specific code or codes of conduct and/or ethics.
- All activities and evidence presented for outcomes and performance criteria must be in accordance with legal and organisational requirements.

Outcomes and performance criteria

Outcome 1

Demonstrate and apply knowledge of legislative standards that apply to regulatory inspections conducted by a regulatory organisation.

Performance criteria

- 1.1 Explain regulatory powers and functions of regulatory inspections.
- 1.2 Apply regulatory powers and functions in a regulatory inspection.

Range evidence of two regulatory inspections is required.

Outcome 2

Apply technical subject matter expertise to a regulatory inspection.

Range evidence of one regulatory inspection is required.

Performance criteria

- 2.1 Apply technical subject matter expertise to a regulatory inspection and explain why the application of the subject matter expertise is required.

Outcome 3

Maintain currency of specialised technical knowledge relating to regulatory inspections.

Performance criteria

- 3.1 Maintain currency of specialised technical knowledge relating to regulatory inspections.

Range may include but is not limited to — awareness of operational, legislative, and/or policy changes, continuing education, contributing to a community of practice, networking activities, training others.

Planned review date	31 December 2026
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Status information and last date for assessment for superseded versions

Process	Version	Date	Last Date for Assessment
Registration	1	28 October 2021	N/A

Consent and Moderation Requirements (CMR) reference	0121
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This CMR can be accessed at <http://www.nzqa.govt.nz/framework/search/index.do>.

Comments on this unit standard

Please contact The Skills Organisation reviewcomments@skills.org.nz if you wish to suggest changes to the content of this unit standard.